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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,471	04/01/2004	Michael A. Wolf	AZULP003

CONFIRMATION NO. 8395

21912  
 VAN PELT & YI LLP  
 10050 N. FOOTHILL BLVD #200  
 CUPERTINO, CA 95014

## FORMALITIES LETTER



\*OC000000012987134\*

Date Mailed: 06/18/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$840** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1740** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

08/16/2004 MAHMED1 00000026 10816471

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1201	516.00 OP
04 FC:1202	324.00 OP

- Total additional claim fee(s) for this application is **\$840**
  - **\$516** for **6** independent claims over 3.
  - **\$324** for **18** total claims over 20.

Replies should be mailed to:   Mail Stop Missing Parts  
  Commissioner for Patents  
  P.O. Box 1450  
  Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*Y. G.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Wolf et al.	Examiner:	Unassigned
Application No.:	10/816,471	Art Unit:	2186
Filed:	April 1, 2004	Docket No.:	AZULP003
Title:	COOPERATIVE MEMORY MANAGEMENT		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in a prepaid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

8/9, 2004. Vicki Lorist  
VICKI LORIST

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP MISSING PARTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a Response to Notice to File Missing Parts mailed **June 18, 2004**.

Application Elements:

- ☒ Declaration
- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☒ Copy of Notice to File Missing Parts of NonProvisional Application
- ☐ Other:

### Amendments

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

### Fee Calculation (37 CFR § 1.16)

	Claims remaining after Amendment		Highest previously paid for	Present Extra	Small Entity			Large Entity	
					Rate	Additional Fee		Rate	Additional Fee
Total Claims		Less			x \$9 = \$		OR	x \$18 = \$	
Indep Claims		Less			x \$43 = \$		OR	x \$86 = \$	
[ ] Multiple Dependent claim Present & Fee Not previously paid					x \$145 = \$		OR	x \$290 = \$	
					TOTAL ADD'L FEE \$			TOTAL ADD'L FEE \$	

☐ Applicants petition for a \_\_\_\_\_ month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 1377 in the amount of \$1740 is enclosed [\$770 filing fee; \$130 surcharge; \$840 additional claims].

### General Authorizations

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as needed to Deposit Account No. 50-0685 (Order No. AZULP003).

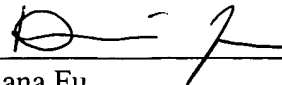
☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. AZULP003)

☒ Please send correspondence to the following address:

**Customer No. 21912**  
VAN PELT & YI LLP  
10050 N. Foothill Blvd.  
Suite 200  
Cupertino, CA 95014

Date: \_\_\_\_\_

8/9/04

  
Diana Fu  
Reg. No. 52,924